

This document serves as confirmation of the personal & business particulars of

Fourie Kritzinger

a Key Individual of Fortunate Brokers CC

Reg. no.: 2006/201965/23

as required by the FAIS Act. FSP License No – 34853

PERSONAL PARTICULARS OF THE FINANCIAL ADVISOR

<p>Physical Address: 458 Judith Street Waterkloof Glen PRETORIA 0181</p> <p>LEGAL STATUS</p> <p>QUALIFICATIONS</p> <p>MEMBERSHIP</p> <p>EXPERIENCE Years experience in the Financial Services Industry</p> <p>Work related experience</p> <p>HOLDER OF PROFESSIONAL INDEMNITY INSURANCE</p>	<p>Postal Address: 458 Judith Street Waterkloof Glen PRETORIA 0181</p> <p>Key Individual and Financial Advisor</p> <p>BA – Degree (UP); Advanced Diploma in Financial Planning (UOFS); Diploma in Financial Planning (UOFS); 1st Level Regulatory Exams: Key Individuals & Reps</p> <p>CFP ® FPI – 200000479 (Certified Financial Planner)</p> <p>Since 1997</p> <ul style="list-style-type: none"> ➤ Old Mutual from 1997 – 2000 ➤ Taxlex Trust from 2000 – 2007 ➤ Citadel from 2007 – 2012 ➤ Fortunate Brokers from 2012 – Current <p>YES</p>	<p>Contact Details: Tel: 012 998 5309 Fax: 012 998 5732 Cell no: 083 399 6219 E-mail: fourie@fortunatemarketers.co.za</p>																														
<p>The Financial Advisor is authorized to provide advice and intermediary services on the following Category I Product Categories:</p>	<p>PRODUCT CATEGORIES</p> <p>Long-term Insurance: Category A, B1, B2 & C</p> <p>Short-term Insurance: Personal & Commercial Lines</p> <p>Retail Pension & Pension Fund Benefits</p> <p>Collective Investment Schemes</p> <p>Health Service Benefits</p>																															
<p>The Financial Advisor is mandated via Fortunate Brokers CC to act as intermediary on behalf of the following Companies:</p>	<table border="1"> <tr> <td colspan="3">MANDATE</td> </tr> <tr> <td colspan="3">LIFE INSURANCE & INVESTMENTS</td> </tr> <tr> <td>Avbob</td> <td>Hollard</td> <td>Momentum</td> </tr> <tr> <td>Bidvest / FMI</td> <td>Intransact</td> <td>Old Mutual</td> </tr> <tr> <td>Brightrock</td> <td>Investec</td> <td>PPS</td> </tr> <tr> <td>Discovery</td> <td>Liberty</td> <td>Sanlam</td> </tr> <tr> <td colspan="3">SHORT-TERM INSURANCE</td> </tr> <tr> <td>Discovery Insure</td> <td>PPS</td> <td>Stratum</td> </tr> <tr> <td colspan="3">HEALTH SERVICE BENEFITS</td> </tr> <tr> <td colspan="3">Discovery Health; Medshield; Momentum Health; Profmed</td> </tr> </table>		MANDATE			LIFE INSURANCE & INVESTMENTS			Avbob	Hollard	Momentum	Bidvest / FMI	Intransact	Old Mutual	Brightrock	Investec	PPS	Discovery	Liberty	Sanlam	SHORT-TERM INSURANCE			Discovery Insure	PPS	Stratum	HEALTH SERVICE BENEFITS			Discovery Health; Medshield; Momentum Health; Profmed		
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<p>Did not earn > 30% of total commission for the previous year from a specific Insurer/Product Provider.</p> <p>Do not own > 10% of issued shares directly/indirectly in any Insurer/Product Provider and are not an associated company of any Insurer/Product Provider.</p>																																
<p>CONFLICT OF INTEREST</p>	<p>This FSP has implemented a Conflict of Interest Management Policy. The FSP might on occasion receive gifts/prizes from Service Providers, the details of which are contained in the Gifts Register and is available for your perusal.</p>																															
<p>REMUNERATION</p>	<p>Commission is indicated on quotations. Fees on all other services are available on Fortunate Brokers CC's website: www.fortunatemarketers.co.za.</p>																															
<p>COMPLAINTS</p>	<p>Kindly direct all complaints in writing to the Compliance Officer: Germa Beukes on fax 086 691 5253 or germa@siriuslex.co.za</p>																															
<p>CLAIMS NOTIFICATION PROCEDURE</p>	<p>In the event of a claim, please first contact the Financial Advisor to assist you with submitting a claim. You may also contact the Insurance Company directly.</p>																															
<p>Long Term Insurance OMBUDSMAN: Private Bag X45 CLAREMONT, 7735 Tel: 021 657 5000 Fax: 021 674 0951 www.ombud.co.za</p>	<p>FAIS OMBUDSMAN: PO Box 74571 LYNNWOOD RIDGE, 0040 Tel: 012 470 9080 Fax: 012 348 3447 www.faisombud.co.za</p>	<p>FSCA: PO Box 35655 MENLOPARK, 0102 Tel: 0800 20 20 87 Fax: 0800 11 04 43 www.fsc.co.za</p>																														

Client Signature : _____

Date : _____

Client Authority / Advisor Appointment

Herewith kindly take note that –

Advisor : **FOURIE MATTHYS KRITZINGER / ID 710522 5204 080**

Email : **fourie@fortunatebrokers.co.za**

- has been authorised to obtain policy- / investment- / unit trust information on my/our behalf;
- has been appointed as my/our financial broker and that I/we, at my/our own discretion, have instructed him/her to do financial planning for me/us and to recommend financial products which I/we may accept or reject with no further obligation
- has also been appointed to service my existing policies and where applicable earn future commission on these.

I, _____ (Full names of client)

acknowledge the following:

Sound and proper financial advice can only be provided with full disclosure of relevant information relating to appropriate personal and private information for the purposes of determining and advising on my/ our financial situation and financial product experience and objectives, in the process of acquiring, servicing or maintaining any financial products, including but not limited to any information relating to or interest in any long-term insurance, unit trust or any other financial products or services, with any long-term insurer, unit trust manager or other financial institution; and

- My / our interests shall be best served if that information is made available to authorised financial service providers with a legitimate interest in receiving such information for those purposes.

I acknowledge that the financial planner can only furnish appropriate advice after full and proper disclosure of my relevant personal information. This information is required to determine my financial situation financial product experience and to evaluate my financial objectives. It enables the financial planner to maintain and service the products in my financial plan and to render related intermediary services.

The information that is requested relates to long- and short-term insurance, health benefits, collective investment schemes, pension funds and any other financial products or services which is relevant to sound financial planning. To this end and to best serve my interests, I consent to the release of my information to the financial planner named below through a registered financial institution, an authorised Financial Services Provider, the Financial Services Exchange (Pty) Ltd (trading as Astute), or an institution providing a similar service. The financial planner may request information on my behalf and I waive my right to privacy **only** for the purposes stated in this document. The financial planner has undertaken to treat all my information confidential. This information may not be made public in any way without my written consent.

I/we accordingly confirm, for the purposes of providing the said sound and proper financial advice to me/us, that full permission and authority is granted to **the mentioned Advisor** to obtain any all such information via Astute (The Financial Services Exchange, trading as Astute), or any other institution providing a mechanism for the transmission of such information.

I/we confirm that such Authorised User shall be acting on my behalf or in my interest: I / We herewith give consent for the long-term insurer, unit trust manager or other financial institution to release any such information to the said Authorised User via Astute, or any other institution.

I/we further acknowledge that this consent to obtain information on my behalf, or the appointment of above advisor, will remain effective until cancelled by me / us in writing.

Client	Spouse		
Full names and Surname:	_____		
ID number or date of birth:	_____		
Telephone Number:	_____		
Companies where I/we have policies, investments and unit trusts: (Client – C1 and Spouse – S) (Advisor code next to each Insurer)			
<input type="checkbox"/> AIMS/ABSA	<input type="checkbox"/> Discovery – 1012150833	<input type="checkbox"/> Liberty – 2610208440001	<input type="checkbox"/> PPS – 9829052
<input type="checkbox"/> Alan Gray	<input type="checkbox"/> FMI – MB004556-1 (11761)	<input type="checkbox"/> Mediese Fonds	<input type="checkbox"/> Sanlam – 342801
<input type="checkbox"/> AltRisk	<input type="checkbox"/> Hollard – FSB34853	<input type="checkbox"/> Metropolitan	<input type="checkbox"/> Stanlib
<input type="checkbox"/> Avbob – 1370	<input type="checkbox"/> Investec	<input type="checkbox"/> Momentum – 710522	<input type="checkbox"/>
<input type="checkbox"/> Brightrock – 800034696	<input type="checkbox"/> Itransact – 00034853	<input type="checkbox"/> Old Mutual – 706565	
Other:			

Client Signature

Spouse Signature

Date

Advisor Signature
FM KRITZINGER

Date